

IN RE APPLICATION OF: Hironobu MIZUNO, et al

SERIAL NO.: NEW U.S. PCT APPLICATION (based on PCT/JP99/04874)

FILED: HEREWITH

FOR: OPTICAL INFORMATION RECORDING MEDIUM AND OPTICAL RECORDING METHOD

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement submitted herewith.
- ☒ Additional documents filed herewith: English Translation, Drawings ( 32 sheets), Declaration, Notice of Priority, Check for \$1650.00, International Search Report, PCT Transmittal Letter, Preliminary Amendment, PCT/IB/308, PCT/IB/304, and Request For Consideration of Documents Cited in International Search Report.


The fee has been calculated as shown below.

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
TOTAL	* 65	MINUS	** 65	= 0	X9 =	\$	X18 =	\$ .00
INDEP	* 3	MINUS	*** 3	= 0	X39 =	\$	X78 =	\$ .00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					+130=	\$	+260=	\$
TOTAL						\$	TOTAL	\$ .00

A check in the amount of \$\_\_\_\_\_ is attached.

XX Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

XX If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

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\*If the entry in Column 2 is less than the entry in Column 1 write "0" in Column 3.  
 \*\*If the "Highest Number Previously paid for" IN THIS SPACE is less than 20 write "20" in this space.  
 \*\*\*If the "Highest Number Previously paid for" IN THIS SPACE is less than 3 write "3" in this space.

0050-1659-2 PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
HIRONOBU MIZUNO ET AL : ATTN: APPLICATION DIVISION  
SERIAL NO: NEW U.S PCT APPLICATION :  
(Based on PCT/JP99/04874)  
FILED: HERewith :  
FOR: OPTICAL INFORMATION :  
RECORDING MEDIUM AND  
OPTICAL RECORDING METHOD

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Prior to a first examination on the merits, please amend the above-identified  
application as follows:

IN THE SPECIFICATION

Page 1, line 1, delete "DESCRIPTION" and insert therefor --TITLE OF THE  
INVENTION--;

line 4, delete in its entirety and insert therefor --

--BACKGROUND OF THE INVENTION

Field of the Invention--;

line 14, delete in its entirety, and insert therefore --Discussion of the  
Background--.

Page 8, line 6, delete in its entirety and insert therefor

--SUMMARY OF THE INVENTION--.

Page 13, line 17, delete in its entirety and insert therefore

--DESCRIPTION OF THE PREFERRED EMBODIMENT--.

IN THE CLAIMS

Claim 5, line 2, change "any one of Claims 1 to 4" to --Claim 1--.

Claim 6, line 2, change "any one of Claims 1 to 4" to --Claim 1--.

Claim 11, line 2, change "any one of Claims 7 to 10" to --Claim 7--.

Claim 12, line 2, change "any one of Claims 7 to 10" to --Claim 7--.

Claim 13, line 2, change "any one of Claims 7 to 10" to --Claim 7--.

Claim 14, line 2, change "any one of Claims 7 to 13" to --Claim 7--.

Claim 15, line 2, change "any one of Claims 7 to 14" to --Claim 7--.

Claim 16, line 2, change "any one of Claims 7 to 15" to --Claim 7--.

Claim 17, line 2, change "any one of Claims 7 to 16" to --Claim 7--.

Claim 20, line 2, change "any one of Claims 7 to 16" to --Claim 7--.

Claim 21, line 2, change "any one of Claims 17 to 20" to --Claim 17--.

Claim 24, line 2, change "any one of Claims 7 to 23" to --Claim 7--.

Claim 28, line 2, change "any one of Claims 1, 4 and 7" to --Claim 1--.

Claim 30, line 2, delete "or 29".

Claim 34, line 2, change "any one of Claims 31 to 33" to --Claim 31--.

Claim 36, line 2, delete "or 35".

Claim 37, line 2, change "any one of Claims 34 to 36" to --Claim 34--.

Claim 38, line 2, change "any one of Claims 34 to 37" to --Claim 34--.

Claim 39, line 2, change "any one of Claims 1, 4 and 7" to --Claim 1--.

Claim 42, line 2, delete "or 41".

Claim 43, line 2, delete "or 40".

Claim 45, line 2, change "any one of Claims 1, 4 and 7" to --Claim 1--.

Claim 47, line 2, change "any one of Claims 1, 4 and 7" to --Claim 1--.

Claim 49, line 4, change "any one of Claims 1, 4 and 7" to --Claim 1--.

Claim 51, line 2, delete "or 50".

Claim 54, lines 1-2, change "any one of Claims 52 to 54" to --Claim 52--.

Claim 55, lines 1-2, change "any one of Claims 52 to 54" to --Claim 52--.

Claim 57, line 2, delete "or 56".

Claim 58, line 4, change "any one of Claims 1, 4 and 7" to --Claim 1--.

Claim 62, lines 1-2, change "any one of Claims 58 to 60" to --Claim 58--.

Claim 63, lines 1-2, change "any one of Claims 58 to 62" to --Claim 58--.

Claim 65, line 3, change "any one of Claims 45 to 48" to --Claim 45--.

#### REMARKS

Favorable consideration of this application, as presently amended, is respectfully requested.

The present Preliminary Amendment is submitted to place the above-identified application in more proper format under United States practice. By the present Preliminary Amendment the specification has been amended to include suggested headings. The claims have been amended to no longer recite any multiple dependencies.